

12-09-04

1fw

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 15436.82.1
In Re Application Of: Freeman et al.			
Serial No. 10/697,766	Filing Date October 30, 2003	Examiner Unknown	Group Art Unit 2873
Title: CONTROL SYSTEM FOR ELECTROCHROMIC DEVICES			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231			
37 CFR 1.97(b)			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
37 CFR 1.97(c)			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);			
OR			
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15436.82.1

In Re Application: **Freeman et al.**

Serial No.
10/697,766

Filing Date
October 30, 2003

Examiner
Unknown

Group Art Unit
2873

CONTROL SYSTEM FOR ELECTROCHROMIC DEVICES

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F	
_____	(Date)
_____ Signature	
_____ Typed or Printed Name of Person Signing Certificate	

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.	
_____ Signature of Person Mailing Correspondence	
_____ Typed or Printed Name of Person Mailing Certificate	

*This certificate may only be used if paying by deposit account.

R. Burns
Signature

Dated: December 7, 2004

R. BURNS ISRAELSEN
Registration No. 42,685
Customer No. 022913

CC:

UC 67 E
2004
In TRADE MARK OFFICE
& TRADE ap

Docket No: 15436.82.1

~~IN REPLY~~ application of

$$\begin{array}{c}) \\) \\) \\) \\) \\) \\) \\) \\) \\) \\) \\) \end{array}$$

Art Unit
2873

)

)

)

)

)

)

)

)

,

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

DATED ^{December}~~November~~ 7, 2004.

Respectfully submitted,



R. BURNS ISRAELSEN
Attorney for Applicant
Registration No. 42,685
Customer No. 022913
Telephone: (801) 533-9800

ELM: kjb
KJB0000004810V001

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Freeman et al.**

Docket No.

15436.82.1

Serial No.

10/697,766

Filing Date

October 30, 2003

Examiner

Unknown

Group Art Unit

2873Invention: **CONTROL SYSTEM FOR ELECTROCHROMIC DEVICES**

DEC 0 8 2004

I hereby certify that this **Information Disclosure Statement; PTO 1449 Form; two (2) references; postcard**
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

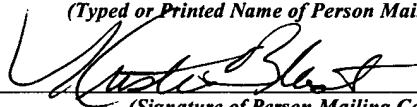
20231-0001 on

December
November 8, 2004

(Date)

Kristine Blust

(Typed or Printed Name of Person Mailing Correspondence)



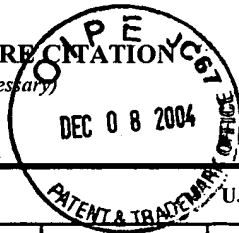
(Signature of Person Mailing Correspondence)

EV524684524US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)



Docket Number (Optional) 15436.82.1	Application Number 10/697,766
Applicant(s) Freeman et al.	
Filing Date October 30, 2003	Group Art Unit 2873

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	1	Hills, P. PWM Signal Generators, V2.03 [online] July 30, 2002 [retrieved on unknown date]. Retrieved from the Internet: URL: http://homepages.which.net/~paul.hills/Circuits/PwmGenerators/PwmGenerators.html
	2	Hills, P. Speed Controllers, V2.04 [online] September 19, 2001 [retrieved on unknown date]. Retrieved from the Internet: URL: http://homepages.which.net/~paul.hills/SpeedControl/SpeedControllersBody.html

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.